

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 04785/LH
INTERNATIONAL APPLICATION NO. PCT/JP03/07738		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/517152
INTERNATIONAL FILING DATE 18 JUNE 2003 (18.06.2003)		PRIORITY DATE CLAIMED
TITLE OF INVENTION SAFETY DEVICE OF VIBRATION ROLLER WHEN MOVING BACKWARD		
APPLICANT(S) FOR DO/EO/US MOCHIGI, Hideki and ISHIKAWA, Tomio		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input checked="" type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information:		

PCT REQUEST (JAPANESE LANGUAGE); ISR;
FORM PCT/IB/301; FORM PTO/SB/08A
REQ. TO PUBL. ASSIGN INFO.

Express Mail Label No. EV 602255971 US

Date of Deposit: December 6, 2004

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

N. Sahadeo
Nalini P. Sahadeo

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/517152</div>		INTERNATIONAL APPLICATION NO. PCT/JP03/07738		ATTORNEY'S DOCKET NUMBER 04785/LH																																	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1110.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE ONLY</th> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> <tr> <td style="text-align: right;">\$ 950.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 950.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 475.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 475.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 475.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 475.00</td> <td></td> </tr> <tr> <td style="text-align: right;">Amount to be refunded:</td> <td style="text-align: right;">\$.00</td> </tr> <tr> <td style="text-align: right;">Amount to be charged:</td> <td style="text-align: right;">\$.00</td> </tr> </table>		CALCULATIONS	PTO USE ONLY			\$ 950.00		\$.00		\$.00		\$.00		\$.00		\$ 950.00		\$ 475.00		\$ 475.00		\$.00		\$ 475.00		\$.00		\$ 475.00		Amount to be refunded:	\$.00	Amount to be charged:	\$.00
CALCULATIONS	PTO USE ONLY																																				
\$ 950.00																																					
\$.00																																					
\$.00																																					
\$.00																																					
\$.00																																					
\$ 950.00																																					
\$ 475.00																																					
\$ 475.00																																					
\$.00																																					
\$ 475.00																																					
\$.00																																					
\$ 475.00																																					
Amount to be refunded:	\$.00																																				
Amount to be charged:	\$.00																																				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$.00																																	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$.00																																	
Total claims	5 - 20 =	0	X \$18.00	\$.00																																	
Independent claims	1 - 3 =	0	X \$88.00	\$.00																																	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$300.00	\$.00																																	
TOTAL OF ABOVE CALCULATIONS =				\$ 950.00																																	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 475.00																																	
SUBTOTAL =				\$ 475.00																																	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$.00																																	
TOTAL NATIONAL FEE =				\$ 475.00																																	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$.00																																	
TOTAL FEES ENCLOSED =				\$ 475.00																																	
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.																																					
SEND ALL CORRESPONDENCE TO:																																					
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> CUSTOMER NO. 01933 Leonard Holtz Frishauf, Holtz, Goodman & Chick P.C. 767 Third Avenue 25th Floor New York NY 10017-2023 </div> <div style="width: 45%; text-align: right;"> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> </div> SIGNATURE Leonard Holtz NAME <div style="border-bottom: 1px solid black; margin-bottom: 5px;">22,974</div> REGISTRATION NUMBER </div> </div>																																					

10/517152

PTO/SB/122 (10-00)

Please type a plus sign (+) inside this box → [+]

Approved for use through 10/31/2002. OMB 0651-0035
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CHANGE OF CORRESPONDENCE ADDRESS Application Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	DT05 Rec'd PCT/PTO 0 6 DEC 2004
	Filing Date	Concurrently Herewith
	First Named Inventor	H. MOCHIGI
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	04785/LH

Please change the Correspondence Address for the above-identified application to:

[X] Customer Number [01933] →
 Type Customer Number here

01933

[X] Firm or Individual Name	FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.				
Address	767 Third Avenue - 25th Floor				
Address					
City	New York	State	NY	ZIP	10017-2023
Country	U.S.A.				
Telephone	(212) 319-4900	Fax	(212) 319-5101		

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

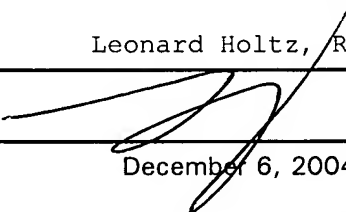
I am the:

[] Applicant/Inventor.

[] Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

[X] Attorney or Agent of record.

[] Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____.

Typed or Printed Name	Leonard Holtz, Registration No. 22,974
Signature	
Date	December 6, 2004

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

[] Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: st Commissioner for Patents, Washington, DC 20231.

DT05 Rec'd PCT/PTO 0 6 DEC 2004

Attorney Docket No. 04414/LH**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): H. MOCHIGI ET AL
Serial No. : Nat'l Phase Appln. of
PCT/JP03/07738
Filed : Herewith
For : SAFETY DEVICE OF VIBRATION ROLLER
WHEN MOVING BACKWARD
Art Unit : Not Yet Assigned
Examiner : Not Yet Assigned

**REQUEST FOR PUBLICATION OF
ASSIGNMENT INFORMATION**

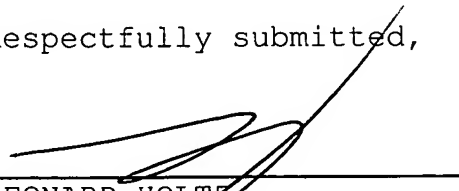
Commissioner for Patents
P.O. Box 1450,
Alexandria, VA 22313-1450

S I R :

It is requested that the following assignment information be
published as part of the 18 month publication of the present
application:

MIKASA SANGYO COL, LTD
4-3, Sarugakucho 1-chome,
Chiyoda-ku, Tokyo 101-0064
Japan

Respectfully submitted,

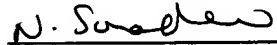

LEONARD HOLTE
Reg. No. 22,974

Frishauf, Holtz, Goodman & Chick, P.C.
767 Third Avenue - 25th Floor
New York, New York 10017-2023
(212) 319-4900
Fax No. (212) 319-5101
LH/nps

Express Mail Mailing Label
No.: EV 602255971 US

Date of Deposit: December 6, 2004

I hereby certify the United States Postal
Service "Express Mail Post Office to
Addressee" service under 37 CFR 1.10
on the date indicated above and is
addressed to the Asst. Commissioner for
Patents, Washington, D.C. 20231


Nalini P. Sahadeo

In the event that this Paper is late filed,
and the necessary petition for extension
of time is not filed concurrently
herewith, please consider this as a
Petition for the requisite extension of
time, and to the extent not tendered by
credit card payment, authorization to
charge the extension fee, or any other fee
required in connection with this Paper to
Account No. 06-1378.